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JC013 U.S. PTO  
09/628236  
07/28/00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

JC866 U.S. PTO  
07/28/00

Title: Thermokinetic Mixer and Method of Using

Inventor(s): David Little, David Rice  
Assignee(s):  
Serial No.:  
Filing Date:  
Group No.:  
Examiner:  
Attorney Docket No.: 0002.1G1

TRANSMITTAL LETTER:  
REGULAR PATENT APPLICATION

ASSISTANT COMMISSIONER FOR PATENTS  
Washington, DC 20231

Sir:

Transmitted hereunder for filing is  
the above patent application and/or  
related documents as listed below.

Papers Which Are Required for Filing Date:

- i) Pages of Specification: 13
- ii) Pages of Claims: 3
- iii) Pages of Drawings: 12
- iv) Pages of Abstract: 1

Additional Papers Enclosed:

- ☒ Declaration/Oath
- ☒ Small Entity Declaration
- ☒ A Check for \$345
- ☒ Power of Attorney

- ☒ Information Disclosure Statement / Remarks
- ☒ Copies of Cited Prior Art
- ☐ Preliminary Amendment
- ☐ Assignment (with PTO Cover Sheet)

CERTIFICATE OF MAILING (37 CFR 1.10)  
I, the person below signing this Certificate of Mailing,  
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*David T. Bracken*

Signature: David T. Bracken  
Date of Mailing: July 28, 2000

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**VERIFIED STATEMENT  
(DECLARATION) CLAIMING SMALL  
ENTITY STATUS  
(37 CFR 1.9(f) AND 1.27(b) --  
INDEPENDENT INVENTOR)**


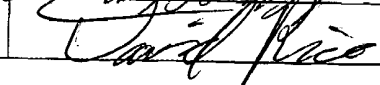
As a below named inventor(s), I (we) hereby declare that I (we) qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the above named and identified invention as described in:

- ☒ the specification, claims, drawings, and abstract filed herewith  
☐ application serial no. \_\_\_\_\_, filed \_\_\_\_\_  
☐ patent no. \_\_\_\_\_, issued \_\_\_\_\_.

I (we) have not assigned, granted, conveyed, or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

I (we) acknowledge the duty to file, in this application or patent, notification of my change in status resulting in loss of entitlement to small entity status prior to paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I (we) hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statement may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Full Name of Inventor:	Signature of Inventor:	Date:
#1 David Little		2/17/01
#2 David Rice		2/18/00